

# Microcanonical particlization of relativistic hydrodynamics

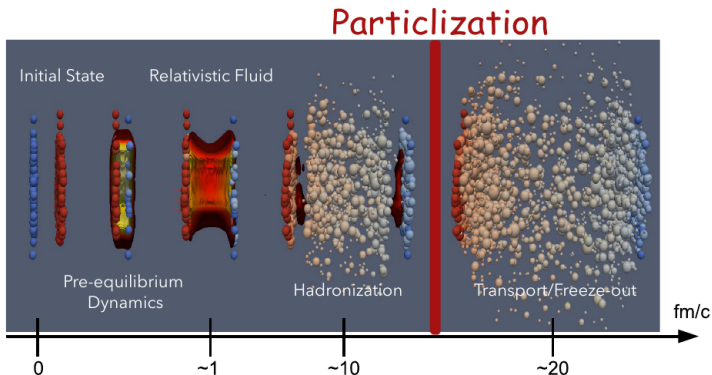
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with Volker Koch and Shuzhe Shi  
[Phys. Rev. Lett. 123, 182302 \(2019\)](#)  
[Phys. Rev. C 102 \(2020\) 3, 0349041](#)



Jan 27, 2022

# Hybrid approaches: hydrodynamics + transport



- MUSIC + UrQMD, MUSIC + SMASH, JETSCAPE, UrQMD (in hybrid mode), Trajectum, and many others
- Studying correlations and fluctuations

How not to lose (some) correlations and fluctuations at particulation?

# Standard particlization in hydro + transport hybrids

## *On average by events:*

How many particles cross a moving surface  $\equiv$   
are produced from a hypersurface element with a normal  $d\sigma_\mu$ ?

Cooper-Frye formula:

$$dN = \frac{g}{(2\pi\hbar c)^3} \frac{p^\mu}{p^0} f(p^\alpha u_\alpha, T, \mu) d^3p d\sigma_\mu = j^\mu d\sigma_\mu$$

- Cooper-Frye formula does not specify multiplicity distribution
- Standard choice  $P(N) = Poisson(\bar{N})$   
motivated by grand-canonical ensemble + classical statistics
- Particles in different cells sampled independently
- Conservation laws fulfilled on average, but not event by event

# Conservation laws at particlization: state of the art

Cooper-Frye formula tells **nothing** about

- correlations between charges, momenta, energies, ...
- fluctuations of  $B$ ,  $S$ ,  $Q$ ,  $\langle p_T \rangle$ , ...

They are determined **by a sampling algorithm**:

- Standard choice: all particles independent
- UrQMD hybrid: attempt to account for conservation laws  
“mode sampling” [Huovinen, Petersen Eur.Phys.J. A48 \(2012\) 171](#)
- Bozek/Broniowski: always sample particle and antiparticle  
Reproduces charge correlations at  $\sqrt{s_{NN}} = 200$  GeV

[Phys.Rev.Lett. 109 \(2012\) 062301](#)

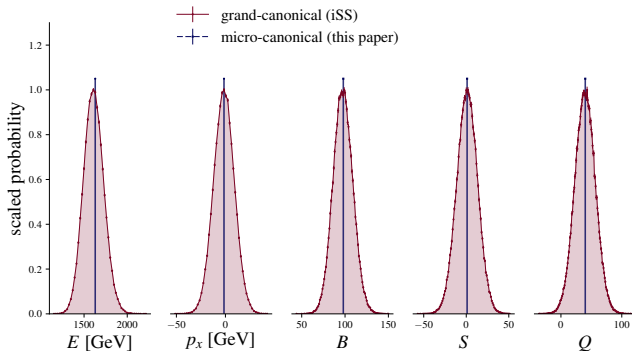
**How much algorithm dependence is there?**

**Bozek's idea relies on  $\mu = 0$ .**

**Can one do something similar at lower energies, where  $\mu \neq 0$ ?**

# Grand-canonical versus microcanonical sampling

Usual grand-canonical particlization assumes independent particles  
But particles should be correlated due to conservation laws



AuAu, 19.6 GeV, 30-40% central collisions

E-by-e conservation laws are necessary to study fluctuations

# Systematically taking conservation laws into account

Quantities to conserve:

$$\begin{pmatrix} P_{tot}^\mu \\ B_{tot} \\ S_{tot} \\ Q_{tot} \end{pmatrix} = \sum_i^{\text{cells}} \int \begin{pmatrix} p_i^\mu \\ B_i \\ S_i \\ Q_i \end{pmatrix} \frac{p^\nu d\sigma_\nu}{p^0} f_i(p^\alpha u_\alpha, T, \mu_i) \frac{g_i d^3p}{(2\pi\hbar)^3}$$

Conservation laws applied independently to parts of the hypersurface:  
**patches**

Plan of the talk:

- Microcanonical particlization in a single patch
- Splitting into patches

# Systematically taking conservation laws into account

Distribution to sample:

$$P(N, \{N_s\}^{\text{species}}, \{x_i\}_{i=1}^N, \{p_i\}_{i=1}^N) = \mathcal{N} \left( \prod_s \frac{1}{N_s!} \right) \prod_{i=1}^N \frac{g_i}{(2\pi\hbar)^3} \frac{d^3 p_i}{p_i^0} p_i^\mu d\sigma_\mu f_i(p_i^\nu u_\nu, T, \mu_i) \times \\ \delta^{(4)}\left(\sum_i p^\mu - P_{tot}^\mu\right) \delta_{\sum_i B_i}^{B_{tot}} \delta_{\sum_i S_i}^{S_{tot}} \delta_{\sum_i Q_i}^{Q_{tot}}$$

- Total quantities conserved
- Variations of  $T$ ,  $\mu$ ,  $u$  within patch taken into account
- Turns into standard microcanonical sampling in case of one cell
- Sampled with Metropolis algorithm

# Metropolis algorithm: general

- Random walk (Markov chain) with many steps
- One step  $t$ :
  - ▶ in state  $\xi$  propose new state  $\xi'$ , probability  $T(\xi \rightarrow \xi')$
  - ▶ Accept this proposal with probability  $A(\xi \rightarrow \xi')$
  - ▶  $w(\xi \rightarrow \xi') = T(\xi \rightarrow \xi')A(\xi \rightarrow \xi')$
- After many steps reach stationary distribution  $P(\xi)$
- $P(\xi)$  should be the desired distribution

$$P^{t+1}(\xi) - P^t(\xi) = \sum_{\xi'} [w(\xi' \rightarrow \xi)P^t(\xi') - w(\xi \rightarrow \xi')P^t(\xi)]$$

Sufficient condition for stationary distribution (detailed balance):

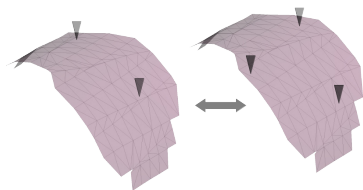
$$\frac{P(\xi')}{P(\xi)} = \frac{w(\xi \rightarrow \xi')}{w(\xi' \rightarrow \xi)} \implies \frac{A(\xi \rightarrow \xi')}{A(\xi' \rightarrow \xi)} = \frac{P(\xi') T(\xi' \rightarrow \xi)}{P(\xi) T(\xi \rightarrow \xi')}$$

Common choice:

$$a \equiv A(\xi \rightarrow \xi') = \min \left( 1, \frac{P(\xi') T(\xi' \rightarrow \xi)}{P(\xi) T(\xi \rightarrow \xi')} \right)$$



# Proposal function



- 1 With 50% probability choose a  $2 \rightarrow 3$  or  $3 \rightarrow 2$  transition.
- 2 Select the “incoming” particles by uniformly picking one of all possible pairs or triples.
- 3 Select the outgoing channel democratically with probability  $1/N^{ch}$ ,  $N^{ch}$  – number of possible channels, satisfying quantum number and energy-momentum conservation.

- 4 For the selected channel sample the “collision” kinematics uniformly from the available phase space with probability  $\frac{dR_n}{R_n}$ ,  $n = 2$  or  $3$ .

$$dR_n(\sqrt{s}, m_1, m_2, \dots, m_n) = \frac{(2\pi)^4}{(2\pi)^{3n}} \frac{d^3 p_1}{2E_1} \frac{d^3 p_2}{2E_2} \dots \frac{d^3 p_n}{2E_n} \delta^{(4)}(P_{tot}^\mu - \sum P_i^\mu)$$

- 5 Choose a cell for each of the outgoing particles uniformly from all cells in the patch.

# Properties of proposal function

- Never changes total energy, momentum, or quantum numbers
- Generates proposal probabilities:

$$T(2 \rightarrow 3) = \frac{1}{2} \frac{G_2^{ch}}{G_2} \frac{1}{N_3^{ch}} \frac{dR_3^{ch}}{R_3^{ch}} \frac{1}{N_{cells}^3}$$

$$T(3 \rightarrow 2) = \frac{1}{2} \frac{G_3^{ch}}{G_3} \frac{1}{N_2^{ch}} \frac{dR_2^{ch}}{R_2^{ch}} \frac{1}{N_{cells}^2}$$

$$G_2 = \frac{N(N-1)}{2!}, G_3 = \frac{N(N-1)(N-2)}{3!}$$

total numbers of incoming pairs/triplets of any species

$G_2^{ch}, G_3^{ch}$  – numbers of ways to select given incoming species

$N_2^{ch}, N_3^{ch}$  – numbers of channels with necessary quantum numbers

# Acceptance probability

$$a_{n \rightarrow m} = \frac{N_m^{ch} R_m}{N_n^{ch} R_n} \frac{N!}{(N + m - n)!} \frac{m!}{n!} \frac{k_m^{id!}}{k_n^{id!}} \times$$

$$\left( \frac{2N_{cells}}{\hbar^3} \right)^{m-n} \frac{\prod_{i=1}^m g_i f_i(\mu_i - p_i^\alpha u_\alpha, T) p_i^\mu d\sigma_\mu}{\prod_{j=1}^n g_j f_j(\mu_j - p_j^\alpha u_\alpha, T) p_j^\mu d\sigma_\mu}$$

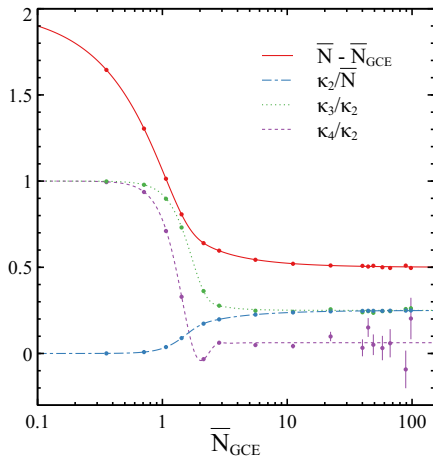
$T, \mu, u$  are taken at positions of the incoming/outgoing particles

# Testing the sampling I: one cell, simple box

Sampling is already non-trivial, several works devoted to this case

Werner:1995mx, Becattini:2004rq, Begun:2005qd

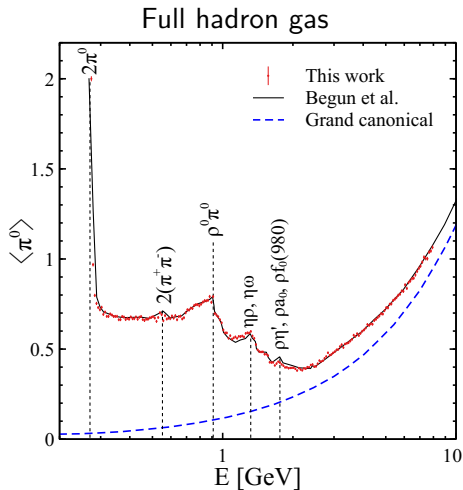
Massless particles: analytically known



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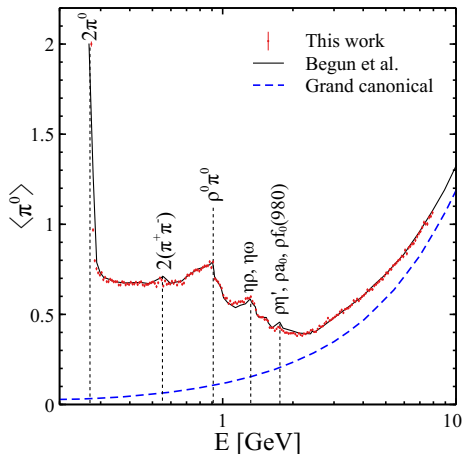


Fast open-source microcanonical sampler!

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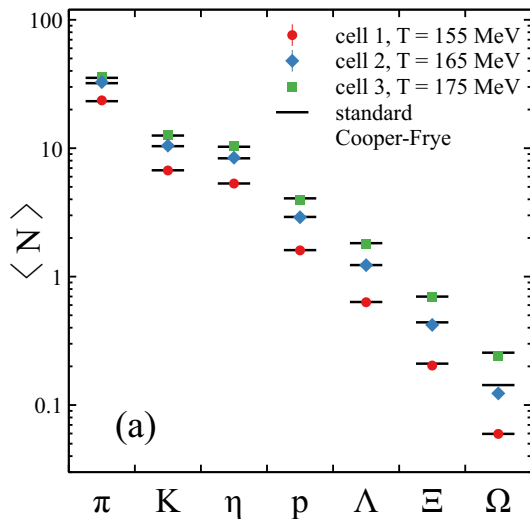


[github.com/doliinychenko/microcanonical\\_cooper\\_frye](https://github.com/doliinychenko/microcanonical_cooper_frye)

# Testing the sampling II

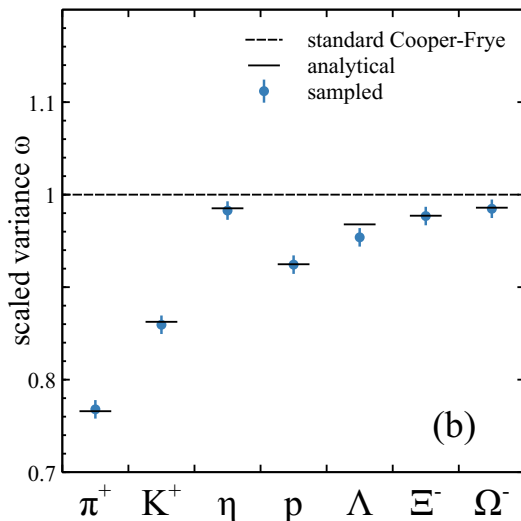
- Patch consisting of 3 cells:
  - ▶  $d\sigma_1^\mu = (500.0, 50.0, 20.0, 30.0) \text{ fm}^3$ ,
  - $d\sigma_2^\mu = (500.0, 40.0, 80.0, 30.0) \text{ fm}^3$ ,
  - $d\sigma_3^\mu = (500.0, 20.0, 20.0, 20.0) \text{ fm}^3$
  - ▶  $\vec{v}_1 = (0.2, 0.3, 0.4)$ ,  $\vec{v}_2 = (0.1, 0.5, 0.5)$ ,  $\vec{v}_3 = (0.3, 0.4, 0.2)$
  - ▶  $T_1 = 0.155 \text{ GeV}$ ,  $T_2 = 0.165 \text{ GeV}$ ,  $T_3 = 0.175 \text{ GeV}$
- Total energy of the patch 1268.2 GeV
- 416 different hadronic species generated ( $m < 2.5 \text{ GeV}$ )
- Total energy, momentum,  $B$ ,  $S$ ,  $Q$  conserved
- Preserving local variations of  $T$ ,  $\mu$ ,  $u$
- Check local means, scaled variance  $\omega \equiv \frac{\langle N^2 \rangle - \langle N \rangle^2}{\langle N \rangle}$  of total multiplicities

# Testing the sampling: several cells per patch



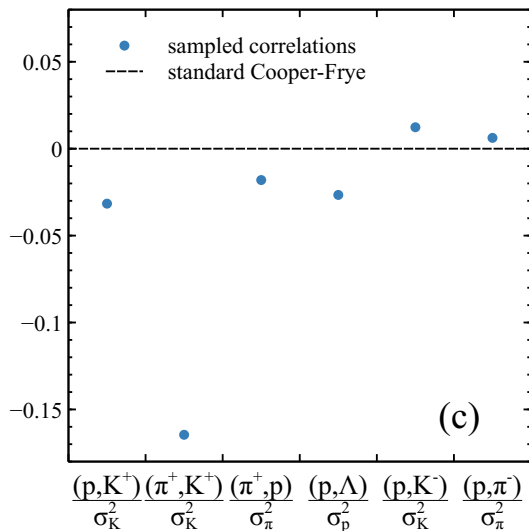


# Testing the sampling: several cells per patch



Analytical: [M. Hauer, V. V. Begun and M. I. Gorenstein, Eur. Phys. J. C \*\*58\*\*, 83 \(2008\)](#)

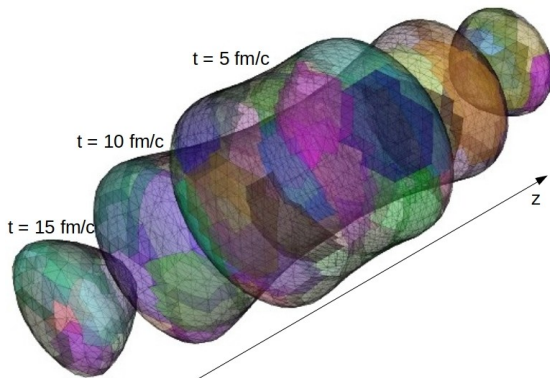
# Testing the sampling: several cells per patch



Conclusion so far:  
sampling works as intended

# Partitioning hypersurface into patches

- How big should the patch be?
  - ▶ Not too small
  - ▶ Contain  $> 1$  particle  $\implies > 100 - 1000$  cells per patch
- How to split hypersurface into patches?
- What physics remains after splitting into patches defined by an ad hoc algorithm?



# Patch splitting

- Start with particular non-clustered cell, e.g. with smallest  $\tau$  or  $\eta$
- Define distance, add closest cells until total rest frame energy  $E_{patch}$  reached
- Start new patch

Different algorithms:

- (a) starting with  $t_{min}$ , distance  $\Delta t^2 + \Delta r^2$
- (b) starting with  $\eta_{max}$ , distance  $\Delta t^2 + \Delta r^2$
- (c) starting with  $\eta_{max}$ , distance  $\Delta \eta$
- (d) starting with  $E_{max}$ , distance  $\Delta r^2/d_0^2 + (\Delta T/\sigma_T)^2 + (\Delta \mu_B/\sigma_{\mu_B})^2$
- Additional adjustments to keep patch charges integer

How much do these ad hoc details influence results?

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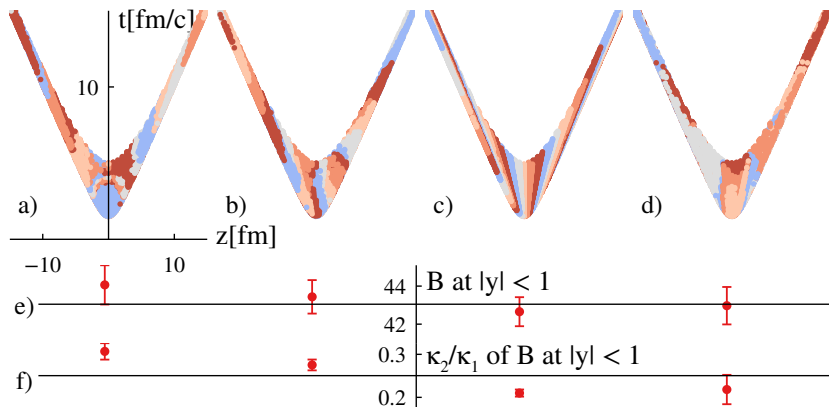
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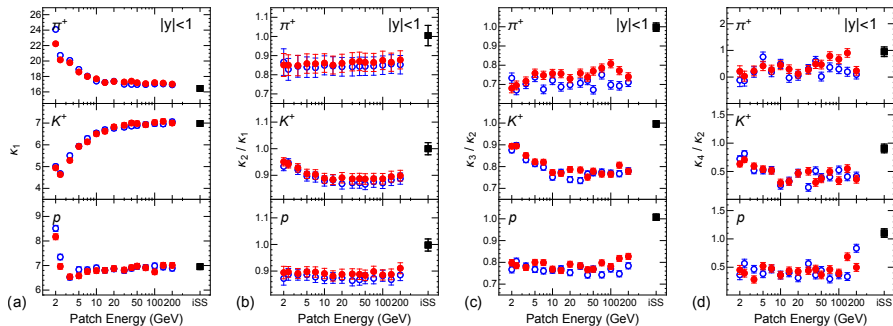
Patch energy  $E_{patch}$  – physical parameter, algorithm – systematic error

# Effects from splitting algorithm



- (a) starting with  $t_{min}$ , distance  $\Delta t^2 + \Delta r^2$
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# Particle cumulants



Red points – algorithm (d)

Blue points – algorithm (a)

Black points – grand-canonical sampler

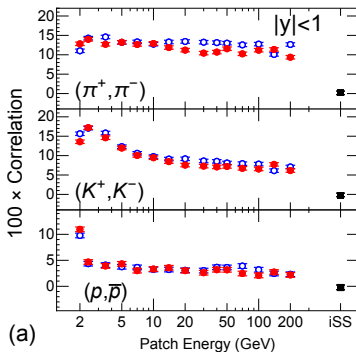
Systematic error due to algorithm is tolerable

(Micro-)canonical effects clearly seen even after rapidity cut



# Microcanonical sampling: effects and applications

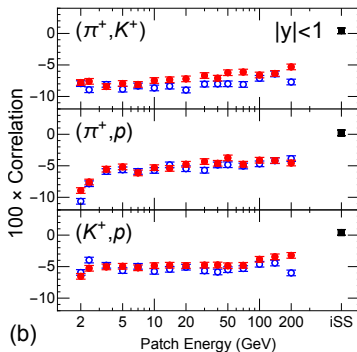
Example: AuAu at 19.6 GeV, 30-40% centrality



- Correlations and fluctuations
- Chiral effects
- Small systems
- Quark-Gluon Plasma in droplets

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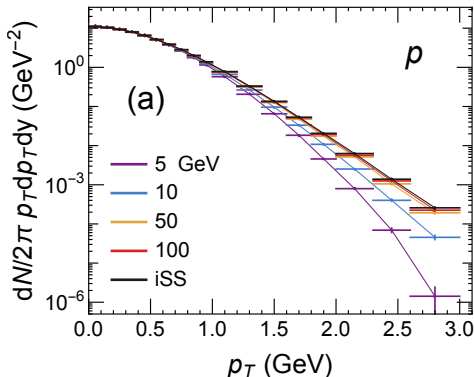
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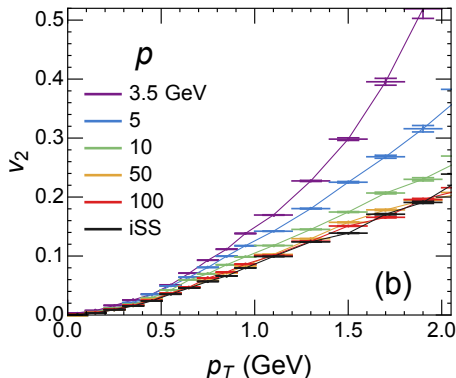
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# Microcanonical sampling: effects and applications

Example: AuAu at 19.6 GeV, 30-40% centrality



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# Summary

- Standard sampling neglects event-by-event conservation laws
- This obfuscates fluctuations
- Reasonably fast method exists to include conservation laws
  - ▶ Split hypersurface into patches and conserve on every patch
  - ▶ Using Markov chain reminiscent of  $2 \leftrightarrow 3$  stochastic collisions to thermalize
  - ▶ Passes non-trivial test cases
  - ▶ Code publically available  
[github.com/doliinychenko/microcanonical\\_cooper\\_frye](https://github.com/doliinychenko/microcanonical_cooper_frye)
- Patch splitting
  - ▶ Contains certain degree of arbitrariness
  - ▶ To which physics is not too sensitive
  - ▶ Patch size is a physical parameter, and it matters
- Microcanonical effects:
  - ▶ high- $p_T$  suppression
  - ▶  $v_2$  enhancement
  - ▶ Non-trivial correlations
  - ▶ Suppression of fluctuations compared to grand-canonical